

## General Fund Revenue Budget Forecasts 2013/14

### January 2014

| Division   | Ksa                                   | Service Area | Revised Budget<br>£000's | Forecast<br>£000's | Forecast<br>Variance<br>£000's | RAG<br>Status  | Description |
|--|---------------------------------------|--------------|--------------------------|--------------------|--------------------------------|--|-------------|
| DR02 Director of Regeneration, Enterprise & Planning       |                                       |              | 227                      | 216                | (11)                           | G  |             |
| Director of Regeneration, Enterprise and Planning          |                                       |              | 227                      | 216                | (11)                           | G  |             |
| FA01   | Asset Management                      | 1,445        | 1,455                    | 10                 | G                              | (£19k) underspend on staff mainly due to vacant posts. The budgeted contribution towards repair and maintenance of monuments and memorials has been reduced resulting in an income shortfall of £9k. Further £7k overspend is related to the marketing of premises and also anticipated £29k overspend on office move expenditure. Offset by additional NNDR appeal savings of (£15k) £69k shortfall in rental income and £16k NNDR overspend due to vacant premises waiting to be re-let or being marketed for disposal and other minor overspends. This is offset by (£9k) surplus on insurance premium income and also by (£15k) underspend on other premises costs such as utilities, building cleaning. <b>A potential drawdown from earmarked reserves for the shortfall in rental income £60k is now reflected.</b> |             |
| FA06   | Other Buildings & Land                | (1,607)      | (1,572)                  | 34                 | G                              |  |             |
| Asset Management   |                                       |              | (162)                    | (117)              | 44                             | G  |             |
| RG01   | Head of Major Projects and Enterprise | 139          | 219                      | 80                 | A                              | Overspend mainly due to the cost of the interim cover forecast to the end of financial year.   |             |
| RG02   | Regeneration & Investment             | 889          | 931                      | 42                 | G                              | £30k overspend due to delay in restructure implementation which is partly offset by underspend in the Town Centre Team. £6k overspend on subscriptions and software licences. £3k overspend to carry out a business survey in Northampton and £3k advertising & publicity expenditure for the Bus interchange.   |             |
| Major Projects and Enterprise                              |                                       |              | 1,028                    | 1,150              | 122                            | R  |             |
| PE02   | Building Control                      | (35)         | (28)                     | 6                  | G                              | (£56k) underspend on staff mainly due to vacant posts. (£312k) surplus due to the high level of planning applications in the year. This is offset by £5k from various supplies & services.<br><br>Delay in restructure implementation has resulted in saving. Used to cover overspend in Regeneration and Investment.<br>(£53k) underspend on vacant posts. This is being offset by £25k NBC contribution to the Heritage Gateway.   |             |
| PE03   | Development Control                   | 337          | (23)                     | (360)              | B                              |  |             |
| PE06   | Head of Planning                      | 115          | 115                      | (0)                | G                              |  |             |
| PE15   | Joint Planning Unit Manager           | 257          | 257                      | 0                  | G                              |  |             |
| PE17   | Planning & Regen Central Support      | 106          | 97                       | (8)                | G                              |  |             |
| PE18   | Town Centre Team                      | 187          | 162                      | (25)               | G                              |  |             |
| RG04   | Planning Policy & Conservation        | 634          | 607                      | (27)               | G                              |  |             |
| Head of Planning   |                                       |              | 1,600                    | 1,187              | (413)                          | B  |             |
| <b>Director of Regeneration, Enterprise &amp; Planning</b> |                                       |              | <b>2,694</b>             | <b>2,436</b>       | <b>(258)</b>                   | <b>B</b>   |             |
| DR05 Director of Housing                                   |                                       |              | 190                      | 188                | (2)                            | G  |             |
| Director of Housing  |                                       |              | 190                      | 188                | (2)                            | G  |             |
| CS02   | Call Care                             | (67)         | 29                       | 96                 | A                              | Overspend mainly due to the £285k forecast underachievement of income for lifelines and charges to other organisations, offset by underspend on staff costs due to vacant posts (£190k). Other variances were less than £5k individually.<br>Staff vacancies across the service area.  |             |
| HS05   | Home Choice & Resettlement            | 461          | 431                      | (30)               | G                              |  |             |
| HS12   | Housing Options                       | 603          | 588                      | (15)               | G                              |  |             |
| HS13   | Head of Strategic Housing             | 137          | 144                      | 7                  | G                              |  |             |
| PE09   | Travellers Sites                      | 22           | 19                       | (3)                | G                              |  |             |
| PE12   | Private Sector Housing Solutions      | 14           | 238                      | 224                | R                              | Additional £47k of HMO enforcement costs which will result in additional income in the future, expenditure offset by drawdown from reserves of £76k. £146k deficit in DFG fees due to income relating to 2013/14 being taken against last year.  |             |
| RG03   | Housing Strategy                      | 29           | 55                       | (4)                | G                              | Vacant post saving.  |             |
| Head of Strategic Housing                                  |                                       |              | 1,230                    | 1,504              | 274                            | R  |             |
| <b>Housing</b>   |                                       |              | <b>1,420</b>             | <b>1,692</b>       | <b>272</b>                     | <b>R</b>   |             |

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|---------------------------------------|------|---------------------------------------|--------------------------|--------------------|--------------------------------|---------------|---|
|                                       | FA04 | Non Distributed Costs                 | 4,571                    | 4,571              | 0                              | G             |   |
|                                       | DR03 | Director of Resources                 | (105)                    | (111)              | (7)                            | G             |   |
|                                       | LGSS | Local Government Shared Service       | 8,912                    | 8,912              | 0                              | G             |   |
|                                       | HR01 | Human Resources                       | 148                      | 152                | 4                              | G             |   |
|                                       | GC08 | Communications                        | 255                      | 244                | (11)                           | G             |   |
|                                       | GC15 | Emergency Planning                    | 52                       | 55                 | 2                              | G             |   |
|                                       | PI20 | Performance and Change                | 86                       | 89                 | 2                              | G             | £100k saving option unlikely to be achieved this year.  |
|                                       | HS02 | Head of Finance & Resources           | 15                       | 15                 | 0                              | G             |   |
|                                       | FA02 | Financial Services                    | 154                      | 169                | 14                             | G             |   |
|                                       | FA03 | Audit                                 | 215                      | 215                | 0                              | G             |   |
|                                       | FA05 | Investments                           | 9                        | 9                  | (0)                            | G             |   |
|                                       | FA19 | Exchequer Service                     | 85                       | 85                 | 1                              | G             |   |
|                                       | HS01 | Benefits                              | (1,325)                  | (1,226)            | 99                             | A             | Reflects a lower than budgeted level of anticipated Benefit Subsidy recoverable from the DWP, primarily in relation to Rent Allowances .  |
|                                       | HS03 | Revenues                              | (565)                    | (560)              | 5                              | G             |   |
|                                       | PR01 | Procurement                           | 24                       | 19                 | (4)                            | G             |   |
|                                       | CX01 | Chief Exec                            | 181                      | 180                | (1)                            | G             |   |
|                                       | GC02 | Civic and Mayoral Expenses            | 97                       | 118                | 21                             | G             |   |
|                                       | GC05 | Overview and Scrutiny                 | 44                       | 45                 | 1                              | G             |   |
|                                       | GC06 | Councillor & Managerial Support       | 536                      | 529                | (6)                            | G             |   |
|                                       | LD02 | Electoral Services                    | 187                      | 175                | (12)                           | G             |   |
|                                       | LD03 | Land Charges                          | (11)                     | (22)               | (11)                           | G             |   |
|                                       | LD04 | Legal                                 | 261                      | 228                | (33)                           | G             | The Risk Managers vacant post generates a savings of (£38k). In Records Management a post has been seconded but temporary replaced by agency with a net savings of (£3k). Agency in Legal before transferring to LGSS cost £15k. Savings on training (£7k) has been offered.  |
|                                       | LD08 | Democratic Services                   | 299                      | 272                | (27)                           | G             | A Democratic Services Officer post has been vacant for most of 2013/14. There was also another post vacant for the first 6 months of 2013/14 due to a secondment. A overspend on publication previously forecasted was actually for a two year subscription and therefore a year end adjustment will be needed and the overspend will be reduced. |
| Borough Secretary                     |      |                                       | 14,126                   | 14,164             | 37                             | G             |   |
| <b>Borough Secretary</b>              |      |                                       | <b>14,126</b>            | <b>14,164</b>      | <b>37</b>                      | <b>G</b>      |   |
|                                       | DR01 | Director of Customers and Communities | 282                      | 274                | (8)                            | G             |   |
| Director of Customers and Communities |      |                                       | 282                      | 274                | (8)                            | G             |   |
|                                       | CE02 | Community Safety                      | 515                      | 559                | 44                             | G             | CCTV electricity costs £8K, and unachieved CCTV income £35k.  |
|                                       | CE04 | Leisure Contract                      | 741                      | 736                | (6)                            | G             |   |
|                                       | LD05 | Licensing                             | (243)                    | (232)              | 11                             | G             |   |
|                                       | PE07 | Pest Control                          | 42                       | 8                  | (34)                           | G             | The saving of (£34k) has come about due to lower than anticipated uptake of the free rat control service.   |
|                                       | PE10 | Commercial Services                   | 336                      | 346                | 9                              | G             |   |
|                                       | PE11 | Environmental Protection              | 1,175                    | 1,081              | (94)                           | G             | (£62k) vacant posts, (£5k) cleaning and rubbish removal not required, £6k vehicle allowances, (£5k) animal welfare costs and (£5k) reduced burial costs. (£23k) increased income due to greater commercial requirement for advice and information.  |
|                                       | PE16 | Head of Public Protection             | 75                       | 80                 | 5                              | G             |   |
|                                       | SS09 | Environmental Services Contract       | 6,390                    | 6,428              | 38                             | G             | £92k for 2 years of Tupe transfer costs now settled and £38k overspend on the Contract due to changes in Indexation rates. <b>A potential drawdown from reserves of £92k for specific contract issues is now reflected.</b>   |
|                                       | SS20 | Environmental Services                | 26                       | 51                 | 26                             | G             | £155k skip income which will not be achieved. £20k Waste Partnership costs. WBD Admin team overspent due to removal of budget for prior year savings £8K (£5k) reduced utilities. <b>A potential drawdown from reserves of £155k for specific contract issues is now reflected.</b>   |
|                                       | GC04 | Policy                                | 8                        | 8                  | 0                              | G             |   |
|                                       | GC09 | Community & Other Grants              | 1,270                    | 1,258              | (11)                           | G             |   |
|                                       | GC10 | Community Development                 | 90                       | 106                | 16                             | G             |   |
|                                       | GC11 | Community Centres                     | 408                      | 396                | (12)                           | G             |   |
|                                       | LS01 | Head of Partnership Support           | 11                       | 4                  | (6)                            | G             |   |
|                                       | SS01 | Neighbourhood Management              | 0                        | (11)               | (11)                           | G             |   |
| Head of Communities and Environment   |      |                                       | 10,843                   | 10,818             | (25)                           | G             |   |

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|--|------|--------------------------------------|--------------------------|--------------------|--------------------------------|---------------|---|
|  | CE06 | Museums and Arts                     | 626                      | 676                | 50                             | A             | External donations received are £15k less than budgeted. There is various vacant posts in Museums saving (£55k), however agency costs have been incurred of £95k. An underspend on professional services had been forecasted (£5k)  |
|  | CS03 | Head of Customer & Cultural Services | 105                      | 108                | 4                              | G             |   |
|  | CS04 | Customer Access                      | 1,288                    | 1,242              | (47)                           | G             | Various employee underspends due to vacant posts.   |
|  | CS05 | Print Unit                           | 181                      | 213                | 32                             | G             | Outsourced printing costs are £15k over budget. There is an additional £7k on employees due mainly to vacancy factor and National Insurance. There will also be a shortfall in external income for printing work carried out for Voluntary groups, charities etc. £10k.   |
|  | PI02 | Information Technology               | 347                      | 327                | (20)                           | G             |   |
|  | PI14 | Telephones                           | 36                       | 52                 | 15                             | G             |   |
|  | CE03 | Events                               | 236                      | 270                | 33                             | G             | Several new events hosted incurring additional staffing and infrastructure costs.   |
|  | CE23 | Town Centre Management               | 15                       | 15                 | 0                              | G             |   |
|  | CE24 | Car Parking                          | (1,445)                  | (1,444)            | 0                              | G             | £15k additional Employee costs. Premises costs (£84k) due to reduced rent for St Peter's Way car park and reduced NNDR on Commercial Street. £12k reactive repairs. Further offset by increase in electricity of due to carbon budget reduction. £26k security costs partly offset by reduced security costs in the bus station. Income is reflecting a £246k shortfall. <b>A potential drawdown from reserves of (£75k) for the free parking scheme and (£140k) for other parking pressures are reflected in this figure.</b>  |
|  | CE26 | Bus Station                          | 254                      | 257                | 4                              | G             |   |
|  | FA08 | Office Accommodation                 | 1,436                    | 1,438              | 2                              | G             | £20k Lower NNDR costs than estimated. Offset by £16k loss of income for Fish Street premises.   |
|  | FA09 | Markets                              | 69                       | 74                 | 5                              | G             |   |
| Head of Customer & Cultural Services         |      |                                      | 3,148                    | 3,226              | 79                             | A             |   |
| <b>Director of Customers and Communities</b> |      |                                      | <b>14,272.89</b>         | <b>14,318.42</b>   | <b>46</b>                      | <b>G</b>      |   |
| <b>Total Service Budgets</b>                 |      |                                      | <b>32,513</b>            | <b>32,610</b>      | <b>97</b>                      | <b>A</b>      |   |
|  |      | Debt Financing                       | 1,855                    | 1,934              | 79                             | A             | Outturn on the GF debt financing budget at period 10 is forecast at £279k over budget. The overspend is mainly due to a significant fall in available investment interest rates in recent months. £200k of the shortfall can be met from the debt financing earmarked reserve, which has been specifically set up to deal with the budgetary risks of fluctuations in interest rates. The remaining £79k overspend relates to MRP, where charges arising from the financing of the capital programme in 2012-13 are higher than budgeted. A savings target assigned to this budget was based on an assumption of slippage in the 2012-13 capital programme that was not realised. |
|  |      | Recharges to the HRA                 | (143)                    | (131)              | 12                             | G             | Debt Financing recharges to HRA.  |
|  |      | Council Tax and other funding        |                          |                    | 0                              | G             |   |
|  |      | Contribution to GF Balances          |                          |                    | 0                              | G             |   |
| <b>Total Corporate Budgets</b>               |      |                                      | <b>1,712</b>             | <b>1,803</b>       | <b>91</b>                      | <b>A</b>      |   |
| <b>Total General Fund</b>                    |      |                                      | <b>34,225</b>            | <b>34,412</b>      | <b>187</b>                     |               |   |